ITED STATES PATENT & TRADEMARK OFFICE

Serial No.:

10/796,411

Application of:

Chi-Ming Chen, et al.

Filed:

December 27, 2004

For:

Controlled Release Metformin Compositions

Examiner:

Young, Micah Paul

Art Unit:

1615

Docket No.:

300.1005CON

Customer No.:

23280

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

April 1, 2005

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 (4 sheets) for consideration by the Examiner in connection with the examination of the above-identified patent application.

Applicants note that the following references were cited in an opposition in the corresponding Colombian Patent Application No. 03-036463 (a copy of the opposition is enclosed herewith):

- U.S. Patent No. 3,174,901, listed as reference "DN" on the accompanying Form PTO-1449;
- WO 00/28989, listed as reference "BS" on the accompanying Form PTO-1449;
- WO 99/47125, listed as reference "AM" on the accompanying Form PTO-1449; and
- U.S. Patent No. 5,955,106, listed as reference "AH" on the accompanying Form PTO-1449

300.1005CON

Applicants further note that references "BS", "AM" and "AH" were considered by the Examiner in the parent application, U.S. Application Serial No. 09/705,630, filed November 3, 2000, now U.S. Patent No. 6,866,866, listed as reference "AA" on the accompanying Form PTO-1449.

Pursuant to 37 C.F.R. § 1.98 (a), the references have been previously submitted or made of record in the parent application. If it is determined that any of the listed references are not of record in the parent application, the Examiner is requested to contact the undersigned so that a copy can be forwarded.

It is respectfully requested that the references cited in the accompanying Form PTO-1449 (4 sheets) be considered and made of record.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97 (c), before the mailing of a Final Office Action. Accordingly, a check in the amount of \$1,330.00 is enclosed, \$180.00 of which covers the fee for the filing of this Information Disclosure Statement. In the event any additional fee is due or any overpayment made in connection with the filing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge said deficiency or credit overpayment to our Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

andr

Robert J.

Reg. No. 41,240

Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, New York 10018 (212) 736-1940

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. 300.1005CON 10/796,411 (REV. 7-80) PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT APPLICANTS (Use several sheets if necessary) Chih-Ming CHEN, et al. **GROUP** FILING DATE March 9, 2004 1615 U.S. PATENT DOCUMENTS NAME **CLASS EXAMINER** DATE SUB-FILING DATE IF INITIAL **CLASS** APPROPRIATE 03/15/05 Chen et al. 424 468 ΑA 6 8 7 09/14/04 Cheng et al. 424 468 AΒ 6 0 11/05/02 Timmins et al. AC 424 469 7 09/01 ΑD 6 2 8 2 Chen et al. 424 473 ΑE 2 8 0 08/01 Chen et al. 424 497 6 0 ΑF 0 08/00 Chen et al. 424 473 AG 0 9 8 9 08/00 Cheng et al. 424 464 7 Al-Razzak et al. ΑH Ó Û Û 8 1/4/00 424 464 09/99 ΑI 0 6 Moeckel et al. 424 464 9 8 1/12/99 424 450 ΑJ Cho 11/25/97 ΑK Inman et al. 514 691 FOREIGN PATENT DOCUMENTS DATE COUNTRY **CLASS** SUB-TRANSLATION CLASS YES NO Q 9/23/99 wo A61K 9/24 AL8 9/23/99 2 wo A61K 9/20 AM AN 9 9 6/17/99 wo A61K 31/155 9 0 3 3/21/96 wo A61K 31/155 AO OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) ΑP Physicians' Desk Reference (54th Ed. 2000), pp. 831-835. ΑQ Sheen, Andre J., Clinical Pharmacokinetics of Metformin, Clinical Pharmacokinetics, May 30, 1996, 5:359-371. Bailey, Clifford J., et al., Metformin, The New England Journal of Medicine, Feb. 29, 1996, 334:574-579. AR Dunn, Christopher J., et al., Metformin: A Review of its Pharmacological Properties and Therapeutic Use in Non-Insulin-Dependent Diabetes AS Mellitus, Drugs (1995), 49:721-747. ΑT Karttunen, P., et al., The Pharmacokinetics of Metformin: A Comparison of the Properties of a Rapid-Release and a Sustained-Release Preparation, pp. 31-36. DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 PATENT AND TRADEMARK OFFICE 10/796,411 300.1005CON (REV. 7-80) LIST OF REFERENCES CITED BY APPLICANT APPLICANTS Chih-Ming CHEN, et al. (Use several sheets if necessary) GROUP FILING DATE March 9, 2004 1615 U.S. PATENT DOCUMENTS DATE NAME CLASS SUB-FILING DATE IF **EXAMINER** CLASS APPROPRIATE INITIAL BA 5 11/18/97 Ayer et al. 424 422 BB 9 0 0 10/7/97 Ubillas et al. 514 557 9/16/97 514 55 BC 6 Shapiro 5 8 0 9/16/97 Wong et al. 424 472 BD 6 6 7 7 BE 0 0 7/22/97 Wright et al. 424 473 5/20/97 Efendic et al. 12 BF 6 514 9 5/13/97 514 284 BG 5 6 2 3 Luo et al. 6 3/25/97 Dong et al. 524 377 BH Kuczynski et al. 486 ΒI 9 5 1/7/97 424 8/13/96 Kuczynski et al. 424 473 BJ 5 5 4 4 1 8/6/96 Roorda et al. 424 484 BK 6 9 4/30/96 Landrau et al. 424 449 5 7 5/9/95 604 892.1 BM 5 4 3 2 Wong et al. 3 5/3/94 604 892.1 BN 5 0 8 3 8 Balaban et al. во 2/9/93 Ayer et al. 424 473 7 8 7 424 473 BP 5 1 8 6 1/12/93 Guittard et al. 8/25/92 473 BQ Ayer et al. 424 6/9/92 McClelland et 424 473 BR FOREIGN PATENT DOCUMENTS DATE COUNTRY CLASS SUB-TRANSLATION CLASS NO YES BS 0 0 05/00 wo A61K 353 OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) BT Andrx Pilot Biostudy Data (20 pages) DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. (REV. 7-80) PATENT AND TRADEMARK OFFICE 300.1005CON 10/796,411 LIST OF REFERENCES CITED BY APPLICANT APPLICANTS: (Use several sheets if necessary) Chih-Ming CHEN, et al. FILING DATE **GROUP** March 9, 2004 1615 U.S. PATENT DOCUMENTS NAME CLASS SUB-FILING DATE IF DATE EXAMINER **CLASS** APPROPRIATE INITIAL 5/5/92 424 438 CA Wong et al. CB 9 2/25/92 Kuczynski et al. 424 473 7 7 CC5 0 6 0 12/10/91 Ayer et al. 264 112 0 0 10/08/91 Barry et al. 424 482 CD 6 6/18/91 Kuczynski et al. 514 255.06 CE 9 4 10/16/90 Eckenhoff 604 892.1 CF 4 473 CG 4 8 9 7 3 9 1/9/90 Shah et al. 424 CH 8 8 9/12/89 Eckenhoff 604 892.1 9 7/25/89 424 457 CI Magruder et al. CJ 4 7 8 3 7 11/8/88 Wong et al. 424 468 3 0 9 10/11/88 Magruder et al. 424 457 CK 7 CL 0 11/3/87 Eckenhoff 424 438 3 3 9/8/87 Eckenhoff et al. 424 468 CM 4 6 9 6 12/9/86 604 892.1 CN 4 6 7 8 0 Deters et al. 11/25/86 424 467 co 4 6 8 7 Ayer et al. 4 CP 4 9 10/7/86 Guittard et al. 604 892.1 CQ 0 0 8 9/16/86 Wong et al. 604 892.1 FOREIGN PATENT DOCUMENTS TRANSLATION DATE COUNTRY CLASS SUB-CLASS YES NO CR OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) Chio, C. Sustained-Release Drug Delivery Systems Remington: the Science and Practice of Pharmacy, 1995, Mack Publishing Company, Easton CS PA pp 1660-1669 DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	. DEPART TENT ANI				ATTY. DOCKET NO. 300.1005CON		SERIAL NO. 10/796,411							
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)										APPLICANTS: Chih-Ming CHEN, et al.				
										FILING DATE March 9, 2004		GROUP 1615		
							U.	S. PAT	ENT DOCUME	NTS				
EXAMINER INITIAL		DATE								NAME	CLASS	SUB- FILING DATE IF CLASS APPROPRIATE		
	DA	4	6	0	9	3	7	4	9/2/86	Ayer	424	473		
	DB	4	5	8	7	1	1	7	5/6/86	Edgren et al.	424	473		
	DC	4	5	2	2	6	2	5	6/11/85	Edgren	424	473		
	DD	4	1	1	1_	2	0	11	9/5/78	Theeuwes	424	473		
	DE	4	0	8	8	8	6	4	5/9/78	Theeuwes et al.	219	121.71		
	DF	4	0	8	0	4	7	2	3/21/78	Bohuon	514	555		
<u>. </u>	DG	4	0	7_	7	4	0	7	3/7/78	Theeuwes et al.	424	427		
	DH	4	0	6	3	0	6	4	12/13/77	Saunders et al.	219	121.7		
	DI	4	0	3_	6	2	2	8	7/19/77	Theeuwes	424	473		
	DJ	4	0	3	4	7	5	8	7/12/77	Theeuwes	424	427		
	DK	4	0	0	8	7	1	9	2/22/77	Theeuwes et al.	424	427		
	DL	3	9	5	7	8_	5	3	5/18/76	Bohuon	560	143		
	DM	3	9	5	2	7	4	1	4/27/76	Baker	424	405		
	DN	3	9	1	6	8_	9	9	11/4/75	Theeuwes et al.	424	424		
	DO	3	8	4	5	7	7	0	11/5/74	Theeuwes et al.	424	427		
	DP	3	6	2	1	0	9	7	11/16/71	Scott	424	247		
,	DQ	3	1_	7	4	9	0_	1	03/23/65	Sterne	514	635		
FOREIGN PATENT DOCUMENTS														
	DATE									COUNTRY	CLASS	SUB-	TRANSLATIO	ON
							.	,				CLASS	YES	NO
	DR													
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)														
	DS									<u></u>				
EXAMINER										DATE CONSIDERED				
*EXAMINER: Ini							ı is in c	onform	ance with MPEI	P 609; Draw line throu	igh citation if r	ot in conform	ance and not cons	idered.